PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 type a plus∢sign (+) inside this box —> ↓+↓ U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/820.973 **Application Number** TRANSMITTAL Filing Date March 29, 2001 FORM **First Named Inventor** Steve W. Braun, et al. (to be used for all correspondence after initial filing) 2874 **Group Art Unit Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 50777/251099 ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Status Letter Affidavits/declaration(s) **Provisional Application** Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1. Form PTO/SB/08 (01 sheet) Terminal Disclaimer Express Abandonment Request 2. 7 publications Request for Refund 3. Return Receipt Postcard Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Remarks Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Michael J. Turton, Reg. No. 40,852 Individual name Signature 4.22.04 Date CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450 on the date listed below.

Typed or printed name

Angela M. Rossi

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Steve W. Braun

SERIAL NO.:

09/820,973

GROUP ART UNIT:

2874

FILED:

March 29, 2001

EXAMINER:

FOR:

OPTICAL TRANSPORT SYSTEM

ATTORNEY, DOCKET NO.: 50777/251099

Date: 4/93/64

Commissioner for Patents P. O. Box 1450 Alexandria, Virginia 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with Rules 56, 97 and 98 of the Rules of Practice in Patent Cases (37 C.F.R. §§ 1.56, 1.97, and 1.98), the publications listed on the modified Form PTO/SB/08 are enclosed with this submission for consideration by the Examiner.

Submission of the references provided in this Information Disclosure is not intended to constitute an admission that any reference referred to herein is prior art for this invention unless specially designated as such. Also, in accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(a) exists.

This Information Disclosure Statement is being filed pursuant to 37 C.F.R. 1.97(e). The undersigned states that each item cited in this paper was cited in related international search reports not more than three months from filing this paper. Therefore, no fee is believed to be

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

due. If a fee is due, the Commissioner is authorized to charge such fee and any additional fees that may be due or credit any overpayment to Deposit Account No. 11-0855.

Respectfully submitted,

Michael J. Turton Reg. No. 40,852

KILPATRICK STOCKTON LLP Suite 2800, 1100 Peachtree Street Atlanta, Georgia 30309-4530 (404) 815-6061

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

/		
Substitute	for form	1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known

Application Number 09/820,973

Date Filed: March 29, 2001

First Named Inventor Braun

Group Art Unit 2874

Examiner Name

(use as many sheets as necessary) 50777/251099 Sheet Attorney Docket Number **U. S PATENT DOCUMENTS** Name of Patentee or Applicant of Document Number **Publication Date** Pages, Columns, Lines, Where Relevant Cited Document Examine r Initials * Passages or Relevant Figures Appear MM-DD-YYYY Cite No.1 Number - Kind Code2 (if known) 10/01/1985 Balliet, et al. 4,545,074 2002/0018260 A1 02/14/2002 Kisovec, et al. 6,449,072 B1 09/10/2002 Sian, et al. FOREIGN PATENT DOCUMENTS Pages, Columns, Foreign Patent Document Lines, Where Name of Patentee or Applicant of Cited Examiner Relevant **Publication Date** Cite No.1 Country Code3 - Number4 - Kind Code5 (if Initials* Passages or MM-DD-YYYY Document Relevant Figures ۳θ Appear 0 380 341 A2 08/01/1990 Europe 10/02/2002 1 246 378 A2 Europe OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of T² Cite the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue Examiner No. Initials * number(s), publisher, city and/or country where published. International Search Report in related Application No. PCT/US03/30326 International Search Report in related Application No. PCT/US03/29970 Examiner Date

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Considered

Signature